



HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Chiu, et al.

Attorney Docket:

TSM02-1300

Serial No.:

10/608,349

Art Unit:

1763

Filed:

June 27, 2003

Examiner:

George A. Goudreau

For:

Process For Patterning High-k Dielectric Material

Mail Stop: Issue Fee Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

The Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed form PTO/SB/08A that may be considered material to the examination of the above-identified application.

This Information Disclosure Statement is submitted under 37 C.F.R. §1.97(d) together with a Statement under 37 C.F.R. §1.97(e), and a \$180.00 fee set forth in 37 C.F.R. §1.17(p) as this disclosure is being filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee.

Please charge the required fee of \$180.00 and any additional amount, or credit any overpayment to Deposit Acct. No 50-1065 of the below mentioned firm.

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Respectfully submitted,

Attorney for Applicant

Reg. No. 45,862

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Certificate of Mailing via First Class Mail (37 C.F.R. § 1.8(a))

Date of Deposit:

January 13, 2005

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service on the date indicated above as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Certificate of Mailing via First Class Mail (1 page) Information Disclosure Statement (1 original and 1 copy) Statement Under 37 CFR 1.97(e) (1 page) Form PTO/SB/08A with 3 references cited (1 page) Copy of 3 references cited Return Postcard

Respectfully submitted,

Kristy Engeldahl Legal Assistant

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STATEMENT UNDER 37 CFR 1.97(e)

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
 - -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - -- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

D.,

Barry W. Dove

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/608,349	
	NFORMATION			Filing Date	June 27, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Chiu, et al.	
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Attorney Docket Number | TSM02-1300

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-6,444,512 B1	09-03-2002	Madhukar, et al.	, .	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY			T ⁶		
	2	TW 519696	02-01-2003	Motorola, Inc.				
	3	TW 479321	03-11-2002	Taiwan Semiconductor Manufacturing Co., Ltd.				
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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